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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava Confirmation No.: 7824  
Application No.: 09/411,075 Group Art Unit: 1636  
Filed: October 4, 1999 Examiner: David A. Lambertson  
For: PURIFICATION OF HEAT SHOCK/ STRESS Attorney Docket No.  
PROTEIN CELL SURFACE RECEPTORS 8449-054-999  
AND THEIR USE AS  
IMMUNOTHERAPEUTIC AGENTS

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.56 AND §1.97**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references CI - CL listed on the attached revised form PTO 1449 entitled "Supplemental List of References Cited by Applicant." Copies of references CI - CL are submitted herewith.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "Supplemental List of References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

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NY2: 1467566.1

This Supplemental Information Disclosure Statement is filed under 37 C.F.R. § 1.97(c) after the first Office Action on the merits, but before the final Office Action or Notice of Allowance. Accordingly, a fee of \$180.00 is believed to be due. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: September 30, 2003

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**LIST OF REFERENCES CITED BY APPLICANT**  
(Use several sheets if necessary)

ATTY DOCKET NO.

8449-054-999

APPLICATION NO

09/411,075

APPLICANT

Pramod K. Srivastava

FILING DATE

October 4, 1999

GROUP

1636

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)

CI	Alberts et al., Molecular Biology of the Cell, 3rd Ed., 1994, Garland Publishing, Inc. NY, pp. 729-731.
CJ	Picard <i>et al.</i> , 1990. Reduced Levels of Hsp90 Compromise Steroid Receptor Action in vivo. Nature 348: 166-168.
CK	Pratt and Toft, 1997, Steroid Receptor Interactions with Heat Shock Protein and Immunophilin Chaperones. Endocr Rev. 1997, 18:306-60.
CL	Tsai and O'Malley, Molecular Mechanisms of Action of Steroid/Thyroid Receptor Superfamily Members. Annu. Rev. Biochem., 1994, 63:451-486.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.